



EDUCATION FOR LIFE SCRUTINY COMMITTEE – 3RD JULY 2018

SUBJECT: EDUCATION & LIFELONG LEARNING GRANTS 2018-19

REPORT BY: CHIEF EDUCATION OFFICER

1. PURPOSE OF REPORT

- 1.1 To provide Members with details of grant funding available to the Education & Lifelong Learning Directorate in 2018-19.

2. SUMMARY

- 2.1 The report provides brief details of the grant funding currently available, although Members need to be aware that further grants can be made available in year subject to new funding sources or the successful outcome of bids.
- 2.2 The report provides a brief description of the intended purpose of the grant funding. More detailed information on individual grants can be made available to Members, if requested.

3. LINKS TO STRATEGY

- 3.1 The effective use of grant funding and resources within the Directorate is necessary to ensure that key strategies are achieved. This will support the following Well-being Goals within the Well-being of Future Generations Act (Wales) 2015, in particular as follows :
- A healthier Wales, supporting vulnerable learners can improve their well-being and educational achievement.
 - A more equal Wales, a society that enables people to fulfil their potential no matter what their background is; and
 - A Wales of cohesive communities, improving the quality of life with attractive, viable, safe and well connected communities.

4. THE REPORT

- 4.1 The attached Appendix 1 provides summary details of grants currently available to the Directorate in 2018-19. It includes a list of the grants, the grant funding bodies, the value of the grant, a brief description of the purpose of the funding, together with details of the responsible officer.
- 4.2 Members will note that there is a significant level of grant funding available to the Directorate in 2018-19, with the figure currently estimated at £31m. In addition Members will note that funding is made available from a number of different sources.

- 4.3 Each grant offer made to the Authority is dependent on adherence to a pre-defined set of terms and conditions, as stipulated by the awarding body. The purpose of the terms and conditions is for the grant body to be able to satisfy itself that the grant has been spent within the scope and purpose of the funding.
- 4.4 In addition the terms and conditions will outline the period of the grant, how the grant will be paid, the timescale for submission of grant claims and reports and also whether the grant is subject to an annual audit review. The requirement for an audit review will stipulate whether this needs to be undertaken by either the Authority's Internal or External Auditors. The purpose of the audit review is to ensure compliance with the terms and conditions of the grant through a process of sample testing. The attached Appendix 1 identifies which of the 2018-19 grants require an audit review. During the review any queries raised are investigated and any further information required by Audit is provided prior to an Audit Report being produced. The details of the outcome of the audit are then made available to the awarding body as specified.
- 4.5 To be aware, even where a grant does not require a specific audit the Grant Body reserve the right to have access to documents and information relating to grant monies and may exercise this right, at all reasonable times, if deemed necessary.
- 4.6 Within the Directorate, the Finance Division maintains a database of all grants that have been awarded to the Directorate for the year. This provides key information for financial planning and also ensures that grant income and the associated expenditure are incorporated into the budget monitoring reports that are produced for the Directorate.
- 4.7 It is important to advise that the Education Achievement Service has a key role in the co-ordination of a number of regional grants, as identified in Appendix 1.

5. WELL-BEING OF FUTURE GENERATIONS

- 5.1 Effective financial management is a key element in ensuring that the Well-being Goals within the Well-being of Future Generations Act (Wales) 2015 are met.

6. EQUALITIES IMPLICATIONS

- 6.1 There are no direct equality implications to this report, therefore no Equality Impact Assessment has been undertaken. All grant schemes are implemented in line with the requirements of the Strategic Equality Plan 2016-2020 and in compliance with the Welsh Language Standards. All operational work undertaken to implement the Directorate's key strategies will be assessed individually as appropriate.

7. FINANCIAL IMPLICATIONS

- 7.1 In 2018-19 there is currently an estimated £31m due into the Education & Lifelong Learning Directorate in the way of grant funding. The issue with regards to grant funding is the degree of uncertainty for future planning purposes, since in most instances the grants are awarded on an annual basis which poses issues for medium and long term planning.
- 7.2 As mentioned previously, all grants are subject to specific terms and conditions and this could include a requirement that the grant is subject to External Audit. If this is the case the Authority's External Auditors will scrutinise financial and non financial data relating to the grant, as per the Audit Instructions, to ensure that all expenditure complies with the terms and conditions. If External Audit identify that there has been a failure to comply then potentially the grant funding body could claw back the grant funding previously awarded and paid, impacting directly on the Authority's budget in that year.

7.3 In 2018/19 Members should be aware of the following:

- EIG (Education Improvement Grant) funding has reduced across the Region by circa 3.7%.
- Welsh Government funding for Minority Ethnic Achievement / Gypsy Roma Travellers is no longer part of the EIG grant. Funding was initially cut but following concerns raised by various Stakeholders to WG, funding has now been identified for 2018/19.
- Community First funding (Youth Project) ended in 2017/18 (£422k to Education in 2017-18), with a reduced level of Legacy funding into the Authority in 2018/19.
- School Uniform Grant – ended for 2018/19 (equated to circa £41k in previous years).
- Flying Start & Families First Funding has reduced by 1.8% in 2018/19. Equates to circa £94k for Flying Start and £16k for Families First funded projects within the Directorate.
- New Welsh Government Funding in 2017/18, which continues into 2018/19
 - Childcare Grant
 - School Business Managers Pilot
 - Reducing Infant Class Size Grant
 - NQT – Supply Cluster Pilot
 - Feminine Hygiene (Hardware & Toilet Facilities / Hygiene Products)
- Post 16 Funding into the Authority has reduced by £415k in 2018/19, due to a reduced number of predicted learners (3 year predicted average over 2017/18, 2018/19 and 2019/20).
- Youth Support Grant Funding has reduced by 11% (£24k) in 2018/19.

8. PERSONNEL IMPLICATIONS

8.1 In circumstances where grant funding is reduced or withdrawn, employees placed at risk will be supported via the appropriate Council policies relating to redeployment and ultimately redundancy if necessary.

8.2 It is most likely that these redundancy costs would need to be met by the Authority.

9. CONSULTATIONS

9.1 Consultation discussions and responses have been reflected in this report.

10. RECOMMENDATIONS

10.1 Members are requested to note the contents of this report.

11. STATUTORY POWER

11.1 Local Government Act 1972.

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Consultees:

Christina Harrhy, Interim Chief Executive

Keri Cole, Chief Education Officer

Sue Richards, Head of Education Planning and Strategy

Sarah Ellis, Lead for Inclusion & ALN

Sarah Mutch, Early Years Manager

Paul Warren, Strategic Lead for School Improvement

Councillor Philippa Marsden, Cabinet Member for Education and Achievement

Nicole Scammell, Head of Corporate Finance & Section 151

Steve Harris, Deputy Section 151

Lisa Lane, Interim Monitoring Officer
Councillor Barbara Jones, Deputy Leader and Cabinet Member for Finance,
Performance and Governance
Anwen Cullinane, Senior Policy Officer (Equalities & Welsh Language)
Julie Baker, Principal Finance Officer (Schools)
Mike Lewis, Principal Accountant Education
Lynne Donovan, Head of People Services

Appendices:
Appendix 1

Grants available to the Directorate in 2018-19.

Responsible Officer	Grant Body	Grant Title	Description / Comments 2018-19	Revenue / Capital	Match Funding Required	Audit Req. Yes / No	Award in 2018-19 £
Education Achievement Service (EAS)	Welsh Government	Education Improvement Grant (EIG) - Regional	To support 3 national priorities for schools - improving standards in literacy; standards in numeracy; and reducing the impact of poverty on educational attainment. To support Welsh 1st & 2nd language in schools, grant needs to coincide with the authorities WESP (Welsh in Education Plan) Part of the Regional EIG Grant in 2018-19. This figure includes match of £631,964 funding provided by the Authority.	Revenue	Yes	Yes	7,043,724
Education Achievement Service (EAS)	Welsh Government	Education Improvement Grant (EIG) - Regional (Non-Maintained Early Years)	Part of the Regional EIG Grant, Distributed to Non-maintained Early Years Childcare Settings within the borough	Revenue	No	Yes	4,881
		Total Education Improvement Grant (Including Match Funding - circa £632k)					7,048,605
Education Achievement Service (EAS)	Welsh Government	Pupil Development Grant (PDG) - LAC & Adopted Pupils Grant	To raise the standards of LAC & Adopted pupils. Part of the Regional PDG Grant in 2017-18	Revenue	No	Yes	221,130
Education Achievement Service (EAS)	Welsh Government	Pupil Development Grant (PDG) - 5-15 (Pupil Deprivation Grant)	Allocation to Schools based on free school meals. Terms of the grant are very similar to EIG grant but the grant should only be spent on pupils identified as being in receipt of FSM. Part of the Regional Grant Funding.	Revenue	No	Yes	5,891,450
Education Achievement Service (EAS)	Welsh Government	Pupil Development Grant (PDG) - 3 - 4 (Pupil Deprivation Grant) Early Years Allocation (New)	To deliver activity to raise the attainment of learners who are eligible for free school meals or who are Look After Children, adopted from care or subject of a Special Guardianship Order. This additional PDG funding is for the extension of PDG to eligible 3 and 4 year olds in educational settings.	Revenue	No	Yes	647,500
Education Achievement Service (EAS)	Welsh Government	Pupil Development Grant (PDG) - EOTAS	Indicative Allocation Based on 2017/2018	Revenue	No	Yes	31,482
Education Achievement Service (EAS)	Welsh Government	Pupil Development Grant (PDG) - (Non-Maintained Early Years)	Part of the Regional PDG Grant, Distributed to Non-maintained Early Years Childcare Settings within the borough	Revenue	No	Yes	12,912
		Performance Development Grant (PDG) Total					6,804,474
Sarah Ellis - Lead for Inclusion & ALN	Welsh Government	Minority Ethnic Achievement Grant & Gypsy Roma Traveller Learners Grant	To support for English as an additional language whose home language is neither English or Welsh and as a result may be at risk of underachieving at School.	Revenue	No	Yes	76,265
Sarah Mutch - Early Years Manager	Welsh Government	Flying Start Revenue Grant	To promote the welfare of children & their parents through the provision of childcare, health visiting, speech language support & parenting support in 2018-19.	Revenue	No	Yes	5,120,443
Sarah Mutch - Early Years Manager	Welsh Government	Flying Start Capital Grant	Completion of Penyreheol - extend the Family Centre to provide additional childcare facilities and space to deliver family support programmes etc. Carry over from 2017/2018	Capital	No	Yes	44,984

Responsible Officer	Grant Body	Grant Title	Description / Comments 2018-19	Revenue / Capital	Match Funding Required	Audit Req. Yes / No	Award in 2018-19 £
Sarah Mutch - Early Years Manager	Welsh Government	Childcare Offer Early Implementer Grant	Funding to support the implementation of the Childcare Offer. This funding is for the period April 2018 to 30th September 2019.	Revenue	No	No	124,500
Sarah Mutch - Early Years Manager	Welsh Government	Childcare Offer Early Implementer Grant - 3 & 4 yr old Childcare Pilot	The costs associated with the delivery of the early implementation of the childcare offer within the whole of Caerphilly.	Revenue	No	No	4,414,000
Fiona Santos - Early Years and Childcare Co-Ordinator	Welsh Government	Out of School Childcare Grant	To support Out of School childcare provision in the Borough. Improving childcare provision / identifying & meeting gaps in provision based on the results of Childcare Sufficiency Assessments. Supporting the Assisted Places Scheme	Revenue	No	Yes	128,686
Paul O'Neil - Community Education Manager	Welsh Government	Youth Support Grant	To support youth engagement & progression; targeted and specific youth work to meet local need and target the most vulnerable young people; sustain the Engagement & Progression Co-Ordinator function.	Revenue	No	Yes	190,373
Sue Richards - Head of Service Education, Planning & Strat	Welsh Government	Community Learning Provision (Adult Education)	To support Adult Community Learning in the Borough.	Revenue	No	No	304,785
Jane Southcombe - Finance Manager (Education, Lifelong Learning & Schools)	Welsh Government	Post 16 Provision in Schools	Funding for 6th Form Pupils (Years 12 & 13). Allocation is based on predicted learners (3 year average over 2017/18, 2018/19 and 2019/20). Reduction in funding of £415k linked to a reduction in predicted learners from 1,160 in 2017/18 to 1,066 in 2018/19. In 2019/20 the Post 16 budget is due to decrease by £9.8m across Wales (on a £92m budget) and consideration will need to be given to have this reduction will be applied. Indicative figures for Caerphilly show a small increase in predicted number of learners for 2019/20.	Revenue	No	No	4,003,381
Paul Warren - Strategic Lead for School Improvement	Welsh Government	National Strategy for Small and Rural Schools	To encourage innovation, to support greater school to school working, to provide additional administrative support in schools where the head teacher has a significant timetabled teaching commitment of at least 10% of the timetable and to increase community use of the school buildings whether for educational or non-educational purposes.	Revenue	No	To be confirmed	103,618
Paul Warren - Strategic Lead for School Improvement	Welsh Government	Reduction of Infant Class Sizes	To reduce infant class sizes to below 29 in the schools identified in the proposals; to employ additional teachers at schools identified in the proposals; criteria for the funding is infant classes of 29 or more pupils, in schools that demonstrate at least one, or a combination of the following: 1. Significant levels of free school meals; 2. Below average outcomes and where a school is judged to be red or amber; 3. Significant levels of additional learning needs; 4. Significant levels of where Welsh / English is not the first language. Funding allocation of £961,983 for the period 2017/18 to 2020/21. [£180k - as per initial proposals for 2018/19]. Potential to submit a bids against a capital allocation for this grant, however need to link with 21st Century Band B proposals.	Revenue	No	To be confirmed	180,372

Responsible Officer	Grant Body	Grant Title	Description / Comments 2018-19	Revenue / Capital	Match Funding Required	Audit Req. Yes / No	Award in 2018-19 £
Jane Southcombe - Finance Manager (Education, Lifelong Learning & Schools)	Welsh Government	School Business Managers Pilot	Match funding to support innovative pilots encouraging the greater use of School Business Managers - providing dedicated support for leaders and teachers, so that they can better focus on raising standards in schools. 2 Pilots currently working in clusters - led by Heolddu & YG Cwm Rhymni.	Revenue	No		32,500
Paul Warren - Strategic Lead for School Improvement	Welsh Government	School Based Supply Cluster Grant	To explore alternative & innovative arrangements via school based cluster arrangements to cover teacher absence by making arrangements to recruit and employ one newly and / or recently qualified teacher in the critical first 3 years of their career post qualification. (Bedwas Cluster)	Revenue	No		48,030
Keri Cole - Chief Education Officer	Welsh Government	Provision of Feminine Hygiene Products	Provision of feminine hygiene products to women & girls from low income households who cannot afford them in the local authority area. This may be through partnerships with the third sector organisations, food banks, community hubs or in schools and other educational establishments.	Revenue	No	No	13,206
Sue Richards - Head of Service Education, Planning & Strat	Welsh Government	21st Century Schools - Band A	In respect of the additional classrooms at Newbridge School and the demolition of Cwmcarn High.	Capital	Yes	Yes	745,995
Paul Warren - Strategic Lead for School Improvement	Public Health Wales	Local development of the Welsh Network of Healthy Schools Scheme (WNHSS)	To facilitate implementation of school level measures, changes in curriculum/teaching, policy/practice and environment that is constant with good health outcomes.	Revenue	No	No	86,487
Fiona Santos - Early Years and Childcare Co-Ordinator	Public Health Wales	Healthy and Sustainable Pre-School Setting Scheme	To facilitate implementation of national guidance, changes in planning and delivery, policy/practice and environment that are consistent with good health outcomes.	Revenue	No	No	14,850
Fiona Santos - Early Years and Childcare Co-Ordinator	Public Health Wales	Healthy and Sustainable Pre-School Setting Scheme (Childhood Obesity Prevention)	To accelerate implementation of evidence based action to prevent obesity in pre-school children measured by the Child Measurement Programme (Wales)	Revenue	No	No	15,000
Clare Ewings - Community Education	Welsh Government (part of Families First Grant which is now administered by Social Services)	Families First - Targeted Youth and Family Engagement	To support young people and their families to build resilience, confidence and self-esteem, and equip them with skills which help them make a meaningful contribution to society. TYFE consists of: Youth Engagement, Family Engagement, Young Parents	Revenue	No	Yes as part of the FF grant Audit.	478,898
Nia Parsons - Advisory Teacher	Welsh Government (part of Families First Grant which is now administered by Social Services)	Families First - Outreach SRB	The Outreach SRB is a service for Nursery children with severe and specific speech, language and communication needs. We identify / support appropriate children for the service within their own school. Some of these children could eventually access a part time assessment placement within a Specialist Resource Base for speech, language and communication needs.	Revenue	No	Yes as part of the FF grant Audit.	133,317
Pauline Elcock - Basic Skills Manager	Welsh Government (part of Families First Grant which is now administered by Social Services)	Families First - Families Learning Together.	identifies families with Essential Skills needs and delivers programmes of learning through groups in schools and in the community, and on a 121 basis in the home. These programmes address the literacy, numeracy and language needs of parents and children and increase parents' understanding of how to support their children's learning and development.	Revenue	No	Yes as part of the FF grant Audit.	154,491

Responsible Officer	Grant Body	Grant Title	Description / Comments 2018-19	Revenue / Capital	Match Funding Required	Audit Req. Yes / No	Award in 2018-19 £
Fiona Santos - Early Years and Childcare Co-Ordinator	Welsh Government (part of Families First Grant which is now administered by Social Services)	Families First - Assisted and Supported Places and ISCAN Coordinator	Assisted and Supported Places Grant Value is £93k, funded from the Families First Grant. To fund 50% Childcare Placement Officer, 100% ISCAN coordinator who coordinates the ISCAN multi-agency panel and mobile creche to support 2 PLANET events hosted by Parent Network.	Revenue	No	Yes as part of the FF grant Audit.	93,130
Lisa Davies - Community Education	Internal - Soc Serv	Promoting Positive Engagement (formerly Youth Crime Prevention Fund)	The Project focuses on 8 to 25 year olds who are at risk of becoming involved in crime or anti social behaviour. Delivery relates to community engagement and development, family links, behaviour and accreditation opportunities. The Project works in partnership with Communities First, Families First and Caerphilly Community Safety.	Revenue	No	Yes	67,485
Lisa Davies - Community Education	Internal - Legacy	Legacy - Youth	To provide youth work support to young people within the legacy priority areas within Caerphilly - formerly part of the Communities First Grant	Revenue	No	Yes	58,000
Sue Richards - Head of Service Education, Planning & Strat	European	Inspire 2 Work . Lead - Blaenau Gwent CBC.	To provide tailored support for young people aged 16-24 who are NEET to address and overcome their barriers, increase their self esteem, develop employability skills and move closer to the labour market. This will result in young people gaining valuable qualifications, entering further learning and gaining employment.	Revenue	Yes	Sample Testing WEFO	278,000
Sue Richards - Head of Service Education, Planning & Strat	European	Bridges into Work II. Lead - Torfaen CBC.	The project will support the reduction of poverty by increasing employment levels, particularly for underrepresented groups and those furthest from the labour market. The operation will target economically inactive and long term unemployed people aged 25+ living in non-Community First areas.	Revenue	Yes	Sample Testing WEFO	361,000
Sue Richards - Head of Service Education, Planning & Strat	European	Workings Skills for Adults II. Lead - Torfaen CBC.	The Project aims to target employed individuals outside of their employment context, supporting those unwilling to admit skills deficits to their employers or whose employers fail to engage.	Revenue	Yes	Sample Testing WEFO	128,000

Awaiting confirmation

TOTAL ANTICIPATED GRANTS	31,252,875
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